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***IN THE UNITED STATES PATENT AND TRADEMARK OFFICE***

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***PATENT***

In re application of: Venkatraman et al.

Attorney Docket No.: DEM1P005

Application No.: 09/741,959

Examiner: Patel, Jagdish

Filed: December 22, 2000

Group: 3693

Title: ECONOMETRIC OPTIMIZATION  
ENGINE

Confirmation No.: 7256

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**FILED VIA EFS**

**REQUEST FOR CORRECTED FILING DATE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**October 17, 2007**

Dear Sir:

In recently reviewing the file history of the above-identified application, it has been brought to Applicants' attention that the previous Applicants' representative filed a "Request for Corrected Filing Receipt" (copy attached) dated March 14, 2001. As you will note, it has two postmarks from the U.S. Patent & Trademark Office. The Request is also listed on PAIRs on March 14, 2001. However, a response from the USPTO was never received and Applicants' unintentionally failed to follow up with USPTO. Applicants have paid the Issue Fee in this application on September 14, 2007 and are currently awaiting issue notification.

Application No. 09/741,959  
Request for Corrected Filing Date  
Dated October 17, 2007

Applicants' respectfully request a review of this prior request and believe that the correct filing date for this application is December 20, 2000. Should the Examiner believe that a telephone conference would expedite the prosecution of this application, the undersigned can be reached at telephone number 925-570-8198. Thank you for your consideration in this matter.

**LAW OFFICES OF KANG S. LIM**

PMB 436  
3494 Camino Tassajara Road  
Danville, CA 94506  
Voice: (925) 570 8198  
Facsimile: (925) 736 3974

Respectfully submitted,

/Kang S. Lim/

Kang S. Lim  
Attorney for Applicant(s)  
Reg. No. 37,491

**CUSTOMER NO. 36088**



RECEIPT  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: VENKATRAMAN et al.

Attorney Docket No.: DEM1P005

Application No.: 09/741,959

Examiner: UNASSIGNED

Filed: December 20, 2000

Group: 2161

Title: ECONOMETRIC OPTIMIZATION  
ENGINE

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231 on March 14, 2001.

Signed: Sue Funchess  
Sue Funchess

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

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JUL 17 2001  
Technology Center 2000

Sir:

The Applicants in the above-identified application respectfully request for the issuance of a corrected filing receipt. The correct filing date of the above-identified application is **December 20, 2000.**

Attached please find (1) a copy of the official filing receipt received from the Patent and Trademark Office in the above application showing the disputed date of filing (December 22, 2000), (2) a copy of the Express Mail receipt showing the date of mailing (December 20, 2000) and (3) a copy of the signed Express Mail certificate showing the date of mailing (December 20, 2000). The filing receipt from the Patent and Trademark Office does not reflect the proper date of filing under 37 CFR § 1.10; a return postcard has not yet been received. The Applicants therefore respectfully request that the application be accorded the correct date of filing, as shown on the Express Mail receipt and the Express Mail certificate, of December 20, 2000.

This correction is not due to any error by the Applicants. The Applicants therefore respectfully request that any and all fees related to this request be waived. However, if it is

determined that any fees are due in connection with the filing of this request, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-0388 (Order No. DEM1P005).

Respectfully submitted,

BEYER WEAVER & THOMAS, LLP

A handwritten signature in black ink, appearing to read "Michael Lee". The signature is fluid and cursive, with the first name "Michael" and last name "Lee" clearly distinguishable.

Michael Lee

Registration No. 31,846

P.O. Box 778  
Berkeley, CA 94704-0778



COPY

## UNITED STATES PATENT AND TRADEMARK OFFICE

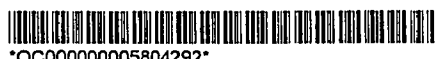
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/741,959	12/22/2000	2161	710	DEM1P005	26	6	3

CONFIRMATION NO. 7256

022434  
BEYER WEAVER & THOMAS LLP  
P.O. BOX 778  
BERKELEY, CA 94704-0778

## FILING RECEIPT



\*OC000000005804292\*

Date Mailed: 02/27/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Krishna Venkatraman, Residence Not Provided;  
Phil Delurgio, Residence Not Provided;  
Suzanne Valentine, Residence Not Provided;  
Michael Neal, Residence Not Provided;  
Hau Lee, Residence Not Provided;

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## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 02/26/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

## Title

Econometric optimization engine

## Preliminary Class



705

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Data entry by : HAPPY, MILAGROS

Team : OIPE

Date: 02/27/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
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- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
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Washington, DC 20231



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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on December 20, 2000 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EJ756595392US, addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

*Michael Lee*

Michael Lee

Attorney Docket No.: DEM1P005

First Named Inventor: VENKATRAMAN

EJ756595392US

**COPY**

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**KRISHNA VENKATRAMAN, PHIL DELURGIO, SUZANNE VALENTINE,  
MICHAEL NEAL and HAU LEE**

For: **ECONOMETRIC OPTIMIZATION ENGINE**

Assigned to:

**Application Elements:**

- ☒ 126 Pages of Specification, Claims and Abstract  
☒ 26 Sheets of Formal Drawings  
☐ Pages Combined Declaration and Power of Attorney

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JUL 17 2001  
Technology Center 2100

**Accompanying Application Parts:**

- ☒ Do not publish this application. Nonpublication Request is attached.  
☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)  
☐ 37 CFR 3.73(b) Statement by Assignee  
☐ Information Disclosure Statement with Form PTO-1449  
☐ Copies of IDS Citations  
☐ Preliminary Amendment  
☒ Return Receipt Postcard  
☐ Other: